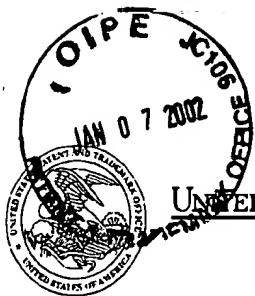


#4



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/920,919	08/02/2001	Kaijun Tan	230074-0238

CONFIRMATION NO. 7139

FORMALITIES LETTER



OC000000006787125

Ted R. Rittmaster
FOLEY & LARDNER
Suite 3500
2029 Century Park East
Los Angeles, CA 90067-3021

Date Mailed: 09/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/10/2002 AGOITOM 00000105 09920919

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130.00 DP



Atty. Dkt. No. 230074-0238

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kaijun TAN et al.

Title: METHOD AND SYSTEM FOR
SECURE DISTRIBUTION AND
UTILIZATION OF DATA OVER A
NETWORK

Appl. No.: 09/920,919

Filing Date: 08/02/2001

Examiner: Unassigned

Art Unit: 2152

**TRANSMITTAL OF MISSING PARTS
OF PATENT APPLICATION**

Commissioner for Patents
Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed on September 25, 2001, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

- ☒ Declaration and Power of Attorney (2 pages)
- ☒ Copy of Notice to File Missing Parts (Part 2 of Form PTO-1533)
- ☐ Other:

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Respectfully submitted,

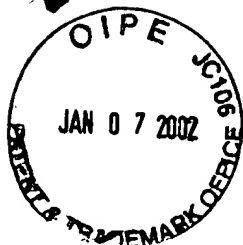
Date October 26, 2001

FOLEY & LARDNER
2029 Century Park East
Los Angeles, California 90067-3000
Telephone: (310) 975-7963
Facsimile: (310) 557-8475

By



Irvin C. Harrington, III
Attorney for Applicant
Registration No. 44,740



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 230074-0238

In re patent application of

Kaijun TAN et al.

Group Art Unit: 2152

Serial No. 09/920,919

Examiner: Unassigned

Filed: 08/02/2001

For: METHOD AND SYSTEM FOR SECURE DISTRIBUTION AND UTILIZATION OF DATA
OVER A NETWORK

CERTIFICATE OF MAILING

Assistant Commissioner for Patents
Washington, D.C. 20231

Assistant Commissioner:

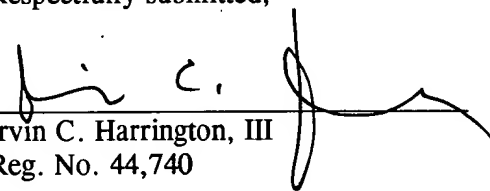
I hereby certify that the following paper(s) and/or fee along with any attachments referred to or identified as being attached or enclosed are being deposited with the United States Postal Service as First Class Mail under 37 C.F.R. § 1.8(a) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231.

1. Transmittal of Missing Parts of Patent Application
2. Copy of Notice to File Missing Parts (Part 2 of form PTO-1533)
3. Declaration Under 37 C.F.R. § 1.63
4. Check No. 15141 (\$130)
5. Postcard

Respectfully submitted,

October 26, 2001

Date


Irvin C. Harrington, III
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